DOCKET NUMBER 2007-56	) OFFICE OF STATE ETHICS
IN THE MATTER OF A	) 18-20 TRINITY STREET
COMPLAINT AGAINST	) HARTFORD, CT 06106
PAMELA FRITZ	) NOVEMBER 7 ) OCTOBER_, 2008

## STIPULATION AND CONSENT ORDER

Pursuant to the Code of Ethics, Conn. Gen. Stat. §§ 1-79, et seq., Thomas K.

Jones, Ethics Enforcement Officer for the Office of State Ethics ("OSE"), issued a complaint ("Complaint") against the respondent Pamela Fritz ("Fritz" or "Respondent") for a violation of the Code of Ethics, Connecticut General Statutes §1-84(c). Based on the findings of an investigation by the Enforcement Division of the OSE, the Ethics Enforcement Officer has probable cause to believe that the Respondent used her authority as a Department of Developmental Services (formerly known as the Department of Mental Retardation and hereinafter "DDS") Community Training Home Monitor to direct money, business, or other financial gain to her spouse's company, F.C. Fritz Remodeling, all in violation of General Statutes §1-84(c).

The Parties have entered into this Stipulation and Consent Order in full resolution of the Complaint in this matter, as further set forth herein.

## I. <u>STIPULATION</u>

The Ethics Enforcement Officer and Respondent hereby stipulate to the following facts:

- 1. At all times relevant hereto, the Respondent was a DDS Community Training Home Monitor.
- 2. At all times relevant hereto, the Respondent was a "State Employee" as that term is defined in General Statutes § 1-79 (m).
- 3. At all times relevant hereto, Fredrick Fritz was the spouse of the Respondent and a member of the "immediate family" of the Respondent as defined in General Statutes § 1-79(f).
- 4. At all times relevant hereto, F.C. Fritz Remodeling was a registered Home Improvement Contractor in Connecticut owned by Fredrick Fritz, and was a business with which Respondent was associated, as defined in General Statutes § 1-79(b).
- 5. On or about July 26, 2006, Respondent drafted a check to F.C. Fritz Remodeling in the amount of \$228.64 from a checkbook of a person in her care. The person in her care signed the check.
- 6. Respondent gave the \$228.64 check to F.C. Fritz Remodeling as payment for that company to repair a door that the Respondent believed was damaged by the person in her care.
- 7. F.C. Fritz Remodeling deposited the check, and repaired the damaged door.

- 8. Respondent was not authorized by the person in her care to draft the check to F.C. Fritz Remodeling.
- 9. By engaging in the above conduct, Respondent used her state position for financial gain for her spouse and/or a business with which she was associated, in violation of General Statutes § 1-84(c).
- 10. Respondent admits to the conduct alleged in the Complaint and herein, and admits that she knowingly engaged in such conduct.

**NOW THEREFORE**, the Ethics Enforcement Officer of the Connecticut Office of State Ethics and the Respondent hereby enter into this Stipulation and Consent Officer and hereby agree as follows:

## II. JURISDICTION

- 1. The Ethics Enforcement Officer is authorized to investigate the Respondent's conduct alleged in the Complaint, to issue a Complaint against the Respondent, and to enter into this Stipulation and Consent Order.
- 2. The provisions of this Stipulation and Consent Order apply to and are binding upon the undersigned Parties.
- 3. The Respondent hereby waives all objections and defenses to the jurisdiction of the Ethics Enforcement Officer over matters addressed in this Stipulation and Consent Order.

- 4. The Respondent waives any rights she may have under General Statutes §§ 1-82, 1-82a, 1-87 and 1-80, including the right to a hearing or appeal in this case, and agrees to an informal disposition of this matter as authorized by General Statutes § 4-177(c).
- 5. The Respondent consents to jurisdiction and venue in the Connecticut Superior Court, Judicial District of Hartford, in the event that the State of Connecticut seeks to enforce this Stipulation and Consent Order. The Respondent recognizes that the Connecticut Superior Court has the authority to specifically enforce the provisions of this Stipulation and Consent Order, including the authority to award equitable relief.
- 6. The Respondent understands that she has the right to legal counsel, and has been represented by legal counsel of her choosing throughout.

## Ш. ORDER

**NOW THEREFORE**, pursuant to General Statutes § 4-177(c), the Office of State Ethics hereby **ORDERS** as follows:

- 1. Pursuant to General Statutes § 1-88(a)(1), the Office of State Ethics orders and the Respondent agrees to cease and desist from any future violation of General Statutes § 1-84(c).
- 2. Pursuant to General Statutes § 1-88(a)(3), the Office of State Ethics orders and the Respondent agrees that the Respondent pay civil penalties to the State in the amount of two thousand dollars (\$2,000.00) for violation of General Statutes § 1-84(c).
- . 3. Pursuant to General Statutes § 1-88(d), the Office of State Ethics orders and the Respondent agrees that the Respondent pay damages to the State in the amount of

two hundred and twenty eight dollars and sixty four cents (\$228.64) as a result of her violation of General Statutes § 1-84(c).

4. Respondent agrees to henceforth comply with the requirements of the Code of Ethics for Public Officials.

WHEREFORE, the Ethics Enforcement Officer and the Respondent hereby execute this Stipulation and Consent Order dated October\_, 2008.

Dated: November 1, 2008

Pamela Fritz

Respondent

319 Colebrook Road Winsted, CT 06098

Dated: November 7, 2008,

Thomas K. Jones

Ethics Enforcement Officer

Enforcement Division,

State of Connecticut Office of State Ethics

18-20 Trinity Street

Hartford, CT 06106

(860)566-4472

PAMELA L. FRITZ
FREDERICK C. FRITZ
319 COLEBROOK RD. PH. 860-379-1919
WINSTED, CT 06098

PAY TO THE ORDER OF Africe of State Chica State Chica State Concert Foundation

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